

Drug Enforcement Administration, Justice

§ 1301.01

and therapeutically significant quantities of another active medicinal ingredient.

[62 FR 13941, Mar. 24, 1997; 62 FR 15392, Apr. 1, 1997; 67 FR 14859, Mar. 28, 2002]

PART 1301—REGISTRATION OF MANUFACTURERS, DISTRIBUTORS, AND DISPENSERS OF CONTROLLED SUBSTANCES

GENERAL INFORMATION

- Sec.
- 1301.01 Scope of this part 1301.
- 1301.02 Definitions.
- 1301.03 Information; special instructions.

REGISTRATION

- 1301.11 Persons required to register.
- 1301.12 Separate registrations for separate locations.
- 1301.13 Application for registration; time for application; expiration date; registration for independent activities; application forms, fees, contents and signature; coincident activities.
- 1301.14 Filing of application; acceptance for filing; defective applications.
- 1301.15 Additional information.
- 1301.16 Amendments to and withdrawal of applications.
- 1301.17 Special procedures for certain applications.
- 1301.18 Research protocols.

EXCEPTIONS TO REGISTRATION AND FEES

- 1301.21 Exception from fees.
- 1301.22 Exemption of agents and employees; affiliated practitioners.
- 1301.23 Exemption of certain military and other personnel.
- 1301.24 Exemption of law enforcement officials.
- 1301.25 Registration regarding ocean vessels, aircraft, and other entities.
- 1301.26 Exemptions from import or export requirements for personal medical use.

ACTION ON APPLICATION FOR REGISTRATION: REVOCATION OR SUSPENSION OF REGISTRATION

- 1301.31 Administrative review generally.
- 1301.32 Action on applications for research in Schedule I substances.
- 1301.33 Application for bulk manufacture of Schedule I and II substances.
- 1301.34 Application for importation of Schedule I and II substances.
- 1301.35 Certificate of registration; denial of registration.
- 1301.36 Suspension or revocation of registration; suspension of registration pending final order; extension of registration pending final order.

- 1301.37 Order to show cause.

HEARINGS

- 1301.41 Hearings generally.
- 1301.42 Purpose of hearing.
- 1301.43 Request for hearing or appearance; waiver.
- 1301.44 Burden of proof.
- 1301.45 Time and place of hearing.
- 1301.46 Final order.

MODIFICATION, TRANSFER, AND TERMINATION OF REGISTRATION

- 1301.51 Modification in registration.
- 1301.52 Termination of registration; transfer of registration; distribution upon discontinuance of business.

SECURITY REQUIREMENTS

- 1301.71 Security requirements generally.
- 1301.72 Physical security controls for non-practitioners; narcotic treatment programs and compounders for narcotic treatment programs; storage areas.
- 1301.73 Physical security controls for non-practitioners; compounders for narcotic treatment programs; manufacturing and compounding areas.
- 1301.74 Other security controls for non-practitioners; narcotic treatment programs and compounders for narcotic treatment programs.
- 1301.75 Physical security controls for practitioners.
- 1301.76 Other security controls for practitioners.
- 1301.77 Security controls for freight forwarding facilities.

EMPLOYEE SCREENING—NON-PRACTITIONERS

- 1301.90 Employee screening procedures.
- 1301.91 Employee responsibility to report drug diversion.
- 1301.92 Illicit activities by employees.
- 1301.93 Sources of information for employee checks.

AUTHORITY: 21 U.S.C. 821, 822, 823, 824, 871(b), 875, 877.

SOURCE: 36 FR 7778, Apr. 24, 1971, unless otherwise noted. Redesignated at 38 FR 26609, Sept. 24, 1973.

GENERAL INFORMATION

§ 1301.01 Scope of this part 1301.

Procedures governing the registration of manufacturers, distributors, dispensers, importers, and exporters of controlled substances pursuant to sections 301–304 and 1007–1008 of the Act (21 U.S.C. 821–824 and 957–958) are set forth

§ 1301.02

generally by those sections and specifically by the sections of this part.

[62 FR 13945, Mar. 24, 1997]

§ 1301.02 Definitions.

Any term used in this part shall have the definition set forth in section 102 of the Act (21 U.S.C. 802) or part 1300 of this chapter.

[62 FR 13945, Mar. 24, 1997]

§ 1301.03 Information; special instructions.

Information regarding procedures under these rules and instructions supplementing these rules will be furnished upon request by writing to the Registration Unit, Drug Enforcement Administration, Department of Justice, Post Office Box 28083, Central Station, Washington, DC 20005.

[36 FR 7778, Apr. 24, 1971. Redesignated at 38 FR 26609, Sept. 23, 1973, and amended at 51 FR 5319, Feb. 13, 1986]

REGISTRATION

§ 1301.11 Persons required to register.

(a) Every person who manufactures, distributes, dispenses, imports, or exports any controlled substance or who proposes to engage in the manufacture, distribution, dispensing, importation or exportation of any controlled substance shall obtain a registration unless exempted by law or pursuant to §§ 1301.22-1301.26. Only persons actually engaged in such activities are required to obtain a registration; related or affiliated persons who are not engaged in such activities are not required to be registered. (For example, a stockholder or parent corporation of a corporation manufacturing controlled substances is not required to obtain a registration.)

(b) [Reserved]

[62 FR 13945, Mar. 24, 1997]

§ 1301.12 Separate registrations for separate locations.

(a) A separate registration is required for each principal place of business or professional practice at one general physical location where controlled substances are manufactured, distributed, imported, exported, or dispensed by a person.

21 CFR Ch. II (4-1-03 Edition)

(b) The following locations shall be deemed not to be places where controlled substances are manufactured, distributed, or dispensed:

(1) A warehouse where controlled substances are stored by or on behalf of a registered person, unless such substances are distributed directly from such warehouse to registered locations other than the registered location from which the substances were delivered or to persons not required to register by virtue of subsection 302(c)(2) or subsection 1007(b)(1)(B) of the Act (21 U.S.C. 822(c)(2) or 957(b)(1)(B));

(2) An office used by agents of a registrant where sales of controlled substances are solicited, made, or supervised but which neither contains such substances (other than substances for display purposes or lawful distribution as samples only) nor serves as a distribution point for filling sales orders; and

(3) An office used by a practitioner (who is registered at another location) where controlled substances are prescribed but neither administered nor otherwise dispensed as a regular part of the professional practice of the practitioner at such office, and where no supplies of controlled substances are maintained.

(4) A freight forwarding facility, as defined in § 1300.01 of this part, provided that the distributing registrant operating the facility has submitted written notice of intent to operate the facility by registered mail, return receipt requested (or other suitable means of documented delivery) and such notice has been approved. The notice shall be submitted to the Special Agent in Charge of the Administration's offices in both the area in which the facility is located and each area in which the distributing registrant maintains a registered location that will transfer controlled substances through the facility. The notice shall detail the registered locations that will utilize the facility, the location of the facility, the hours of operation, the individual(s) responsible for the controlled substances, the security and record-keeping procedures that will be employed, and whether controlled substances returns will be processed through the facility. The notice must